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Annual Report for Calendar 2019

The Honorable Susan M Collins (Collins, Susan M.)

Filed 08/13/2020 @ 12 PM

Print Report

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

I *omitted* assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Spouse	Retirement	IRA Distribution from Account Held by R.M. Davis Portland, ME	> \$1,000

Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	TDBank (Bangor, ME) <i>Type: Checking,</i>	Bank Deposit	Joint	\$100,001 - \$250,000	Interest,	None (or less than \$201)
2	TDBank (Bangor, ME) <i>Type: Savings,</i>	Bank Deposit	Spouse	\$500,001 - \$1,000,000	Interest,	\$5,001 - \$15,000
3	Bangor Savings Bank (Bangor, Maine) <i>Type: Checking,</i>	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,	None (or less than \$201)
4	US Senate Federal CU (Alexandria, VA) <i>Type: Savings,</i>	Bank Deposit	Self	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
5	VOYA - Voya Financial, Inc.	Deferred Compensation Deferred Compensation - Other	Self			
5.1	INGIX - Voya U.S.	Mutual Funds	Self	\$100,001 -	Excepted	\$15,001

	Stock Index Portfolio	Mutual Fund		\$250,000	Investment Income Fund, Type	-
	Asset	Asset Type	Owner	Value		\$50,000 Income
6	FASMX - Fidelity Asset Manager 50% <i>Filer comment: (IRA)</i>	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,	\$15,001 - \$50,000
7	FSTVX - Fidelity Total Market Index Fund <i>Filer comment: (IRA)</i>	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,	\$15,001 - \$50,000
8	AEPFX - American Funds EuroPacific Growth Fund Class F-2	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
9	VEU - Vanguard FTSE All-Wld ex-US ETF	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	\$1,001 - \$2,500
10	SWGXX-Schwab Gov Securities Fd 808515209 <i>Filer comment: (Trust)</i>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Dividends,	\$201 - \$1,000
11	SWGXX-Schwab Gov Securities Fd 808515209 <i>Filer comment: (IRA)</i>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
12	SNOXX - Charles Schwab Family of Funds - Schwab Treasury O <i>Filer comment: (Trust)</i>	Mutual Funds Mutual Fund	Spouse	\$250,001 - \$500,000	Dividends,	\$2,501 - \$5,000
13	SNOXX - Charles Schwab Family of Funds - Schwab Treasury O <i>Filer comment: (IRA)</i>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
14	FIGRX - Fidelity International Discovery Fund	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000

15	US Treasury Bills 912796QM4 <i>Rate/Coupon: None</i> <i>Matures: None</i> <i>Filer comment: Rate: 0.00% Due: 06/20/2019</i>	Government Securities US Treasury/Agency Security	Spouse	None (or less than \$1,001)	Income Interest,	\$1,001 - \$2,500
16	US Treasury Bills 912796PX1 <i>Rate/Coupon: None</i> <i>Matures: None</i> <i>Filer comment: Rate: 0.00% Due: 03/28/2019</i>	Government Securities US Treasury/Agency Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
17	US Treasury Bills 912796SY6 <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
18	Stryker Corporation 863667AK7 <i>Rate/Coupon: 2.0%</i> <i>Matures: 03/08/2019</i>	Corporate Securities Corporate Bond	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
19	JPMorgan Chase & Co 46625HQJ2 <i>Rate/Coupon: 2.55%</i> <i>Matures: 03/01/2021</i>	Corporate Securities Corporate Bond	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
20	Federal Home Loan Bank 3130A8LS0 <i>Rate/Coupon: 1.5%</i> <i>Matures: 06/30/2021</i>	Corporate Securities Corporate Bond	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
21	Fed Farm Credit BK 3133EFZT7 <i>Rate/Coupon: 1.4%</i> <i>Matures: 02/24/2020</i>	Corporate Securities Corporate Bond	Spouse	\$50,001 - \$100,000	Interest,	\$201 - \$1,000
22	Fed Farm Credit BK 3133EGFP5 <i>Rate/Coupon: 1.83%</i>	Corporate Securities Corporate Bond	Spouse	\$50,001 - \$100,000	Interest,	\$201 - \$1,000

					Income	
	Asset	Asset Type	Owner	Value	Type	Income
23	Fed Farm Credit BK 3133EGU94 <i>Rate/Coupon: 2.3%</i> <i>Matures: 12/14/2022</i>	Corporate Securities Corporate Bond	Spouse	None (or less than \$1,001)	Interest, Capital Gains,	\$201 - \$1,000
24	Fed Farm Credit BK 3133EKQ90 <i>Rate/Coupon: 2.000%</i> <i>Matures: 09/23/2022</i>	Corporate Securities Corporate Bond	Spouse	\$50,001 - \$100,000	Interest,	None (or less than \$201)
25	MINNESOTA ST SR A 604129K47 <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2019</i> <i>Filer comment: State security.</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
26	METROPOLITAN TRANS AUTH SR 7 59259YTP4 <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/15/2019</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
27	New Hampshire MUND BD BK 64465PPP7 <i>Rate/Coupon: 3.0%</i> <i>Matures: 08/15/2020</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
28	Winslow ME 975434FU0 <i>Rate/Coupon: 3.0%</i> <i>Matures: 10/01/2020</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
29	NYC NY TRANSITION SR E 64971QCT8 <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/01/2020</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
30	Portland ME WTR DIST REV 736606BR4 <i>Rate/Coupon: 3.0%</i> <i>Matures: 11/01/2020</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000

31	Portland ME WTR DIST REV 736606CU6 <i>Rate/Coupon: 3.0%</i> <i>Matures: 11/01/2023</i>	Government Securities Municipal Security	Spouse Owner	\$15,001 - \$50,000	Income Interest, Type	\$201 - Income \$1,000
32	Rochester NH 771639TK7 <i>Rate/Coupon: 3.0%</i> <i>Matures: 03/01/2021</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
33	Billings MT 0900957K0 <i>Rate/Coupon: 3.0%</i> <i>Matures: 07/01/2021</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
34	UNIV ILL SR A 914353ZB6 <i>Rate/Coupon: 5.0%</i> <i>Matures: 04/01/2022</i> <i>Filer comment: State security.</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
35	Augusta ME 051411TN6 <i>Rate/Coupon: 2.0%</i> <i>Matures: 05/01/2021</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$201 - \$1,000
36	Augusta ME 051411VB9 <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/01/2020</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
37	Washington State Federal Highway Grant 938823AZ4 <i>Rate/Coupon: None</i> <i>Matures: None</i> <i>Filer comment: Rate/Coupon: 5.0%</i> <i>Matures: 09/01/2019</i>	Government Securities US Treasury/Agency Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
38	Wisconsin St 97705LY43	Government Securities	Spouse	\$50,001 - \$100,000	Interest,	\$1,001 -

	Rate/Coupon: 2.550% Matures: 05/01/2024 Asset Filer comment: State security.	Municipal Security Asset Type	Owner	Value	Income Type	\$2,500 Income
39	Maine St Housing Auth 56052FKZ7 Rate/Coupon: 2.400% Matures: 11/15/2023 Filer comment: State security.	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
40	Maine Health and Higher EDL FACS 5604276F8 Rate/Coupon: 5.250% Matures: 07/01/2022	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
41	Portland ME Arpt Rev Green Bd 736564DZ5 Rate/Coupon: 4.000% Matures: 01/01/2024	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	None (or less than \$201)
42	Waterville ME 942231QM6 Rate/Coupon: 2.125% Matures: 04/01/2024	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	None (or less than \$201)
43	MMM - 3M Company	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
44	ACN - Accenture plc	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
45	AKAM - Akamai Technologies, Inc.	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
46	GOOGL - Alphabet Inc.	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than

					Income	\$201)
47	Asset AMZN - Amazon.com, Inc.	Asset Type Corporate Securities Stock	Owner Spouse	Value \$15,001 - \$50,000	Type None,	Income None (or less than \$201)
48	AMT - American Tower Corporation	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
49	AWK - American Water Works Company, Inc.	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
50	AME - AMETEK, Inc.	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
51	APH - Amphenol Corporation	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
52	AON - Aon plc	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
53	AAPL - Apple Inc.	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
54	BRK-B - Berkshire Hathaway Inc.	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
55	BA - The Boeing Company	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
56	SCHW - The Charles Schwab Corporation	Corporate Securities	Spouse	\$1,001 - \$15,000	Dividends,	None (or less

Asset	Asset Type	Owner	Value	Income Type	(or less than \$201) Income
57 CME - CME Group Inc.	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
58 COP - ConocoPhillips	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
59 DHR - Danaher Corporation <i>Filer comment: (Trust)</i>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
60 DHR - Danaher Corporation <i>Filer comment: (IRA)</i>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
61 DNKN - Dunkin' Brands Group, Inc.	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
62 ECL - Ecolab Inc.	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
63 GL - Globe Life Inc. <i>Filer comment: Acquired due to the Torchmark Corp. name change on 8/9/2019.</i>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
64 HSIC - Henry Schein, Inc.	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
65 JNJ - Johnson & Johnson	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000

66	JPM - JPMorgan Chase & Co. <i>Filer comment: (Trust)</i>	Corporate Securities Stock	Spouse Owner	\$15,001 - \$50,000	Dividends, Type	\$201 - \$1,000
67	JPM - JPMorgan Chase & Co. <i>Filer comment: (IRA)</i>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
68	KTB - Kontoor Brands, Inc. <i>Filer comment: Acquired in a spin off from VF Corp on 5/22/2019. Sold on 5/29/2019 for less than \$1,000.</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
69	MA - MasterCard Incorporated	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
70	MRK - Merck & Co. Inc.	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
71	MTD - Mettler-Toledo International Inc.	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends,	None (or less than \$201)
72	MCHP - Microchip Technology Incorporated	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
73	MSFT - Microsoft Corporation	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
74	NEE - NextEra Energy, Inc.	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
75	PEP - PepsiCo, Inc.	Corporate	Spouse	\$15,001 -	Dividends,	\$201 -

Asset	Securities Stock Asset Type	Owner	Value	Income Type	Income
76	PSX - Phillips 66 <i>Filer comment:</i> (Trust)	Corporate Securities Stock	Spouse \$15,001 - \$50,000	Dividends,	\$201 - \$1,000
77	PSX - Phillips 66 <i>Filer comment:</i> (IRA)	Corporate Securities Stock	Spouse \$1,001 - \$15,000	Dividends,	\$201 - \$1,000
78	RHT - Red Hat, Inc.	Corporate Securities Stock	Spouse None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
79	ROP - Roper Technologies, Inc.	Corporate Securities Stock	Spouse \$50,001 - \$100,000	Dividends,	\$201 - \$1,000
80	TJX - The TJX Companies, Inc. <i>Filer comment:</i> (Trust)	Corporate Securities Stock	Spouse \$1,001 - \$15,000	Dividends,	None (or less than \$201)
81	TJX - The TJX Companies, Inc. <i>Filer comment:</i> (IRA)	Corporate Securities Stock	Spouse \$15,001 - \$50,000	Dividends,	\$201 - \$1,000
82	TFX - Teleflex Incorporated	Corporate Securities Stock	Spouse \$50,001 - \$100,000	Dividends,	\$201 - \$1,000
83	TMO - Thermo Fisher Scientific Inc.	Corporate Securities Stock	Spouse \$15,001 - \$50,000	Dividends,	None (or less than \$201)
84	TMK - Torchmark Corporation <i>Filer comment:</i> The entry represents the Torchmark Corp. stock and its associated income before the name change to	Corporate Securities Stock	Spouse None (or less than \$1,001)	Dividends,	\$201 - \$1,000

Globe Life Inc. on 8/9/2019. Asset		Asset Type	Owner	Value	Income Type	Income
85	UTX - United Technologies Corporation	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
86	UNH - UnitedHealth Group Incorporated <i>Filer comment: (Trust)</i>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
87	UNH - UnitedHealth Group Incorporated <i>Filer comment: (IRA)</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
88	UL - Unilever PLC	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
89	UNP - Union Pacific Corporation	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
90	USB - U.S. Bancorp	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
91	V - Visa Inc.	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
92	VFC - V.F. Corporation	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
93	DIS - The Walt Disney Company	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
94	WCN - Waste Connections, Inc.	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
95	WEV - WEV Inc	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	None	None

33	WEA6 - WEA INC.	Corporate Securities	Spouse	\$10,001 - \$50,000	none, Income	none (or less than \$201)
	Asset	Stock Type	Owner	Value	Type	

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

#	Transaction Date	Owner	Ticker	Asset Name	Type	Amount	Comment
1	05/17/2019	Spouse	RHT	Red Hat, Inc.	Sale (Full)	\$50,001 - \$100,000	--
2	05/21/2019	Spouse	WEX	WEX Inc.	Purchase	\$15,001 - \$50,000	--
3	08/15/2019	Spouse	CVET	Covetrus, Inc.	Sale (Full)	\$1,001 - \$15,000	--
4	08/20/2019	Spouse	AKAM	Akamai Technologies, Inc.	Purchase	\$15,001 - \$50,000	--
5	09/18/2019	Spouse	--	Federal Farm Credit Bk 3133EKQ90 <i>Rate/Coupon:</i> 2.000% <i>Matures:</i> 09/23/2022	Purchase	\$15,001 - \$50,000	--
6	09/19/2019	Spouse	--	Federal Farm Credit Bk 3133EGU94 <i>Rate/Coupon:</i> 2.300% <i>Matures:</i> 12/14/2022	Sale (Full)	\$15,001 - \$50,000	--
7	11/19/2019	Spouse	--	Waterville ME 942231QM6 <i>Rate/Coupon:</i> 2.125% <i>Matures:</i> 04/01/2024	Purchase	\$15,001 - \$50,000	--
8	11/20/2019	Spouse	--	Portland ME Arpt Rev Green Bd 736564DZ5 <i>Rate/Coupon:</i> 4.000% <i>Matures:</i> 01/01/2024	Purchase	\$15,001 - \$50,000	--

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
1	Spouse	--	US Treasury Bills 912796SY6	Purchase	06/26/2019	\$50,001 - \$100,000	--

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable liability worth more than \$10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2012	Self	Mortgage	0	3.125% (7/1 ARM)	\$250,001 - \$500,000	Wells Fargo San Francisco, CA	-

Part 8. Positions

Did you hold any outside positions during the reporting period? **No**

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **This is not my first report.**

Attachments & Comments

No attachments added.

No comments added.